

Name of your Company Reg. Address of your Company

Inquiry Regarding the Beneficial Owner

Ladies and Gentlemen,

Austria has implemented the 3rd EU Anti-Money Laundering Directive in the Austrian Banking Act (*Bankwesengesetz*). Pursuant to these statutory regulations, Raiffeisen Bank International AG (RBI) is obliged to request customer information in relation to the beneficial owner structure.

Beneficial owners are all natural persons who hold at least 25 percent at one level in the ownership chain of your legal entity or exercise in other way control over the legal entity.

We therefore kindly ask you to provide us with the following information in order to comply with the Austrian Banking Act:

1. All legal entities (in particular companies and foundations) which either individually or jointly with other legal entities, with whom they have agreed on a uniform exercise of voting rights, hold a direct or indirect participation in your company with regard to capital or voting rights of more than 25 percent (per participation level).
2. All natural persons who directly or indirectly hold a participation in your legal entity (company or foundation), provided that the participation quota on each level of participation amounts to more than 25 percent (per participation level); for the calculation of the participation quota, agreements regarding the uniform exercise of voting rights shall also be taken into account in this context.
3. All natural persons who in any way other than through a participation or the holding of voting rights exercise control over the management of your legal entity (company or foundation) at least to the same extent as a shareholder whose participation amounts to more than 25 percent; control can be exercised through any kind of influence on the management of the legal entity, in a way that decisions by the management are taken in the interest of the person exercising such influence. Should control as described herein be exercised on any level of the chain of participation as described in item 2. above, this should be taken into account accordingly.

Please find a graph overview for the legal requirements regarding the beneficial owner/beneficiary in enclosed document at the end.

Information regarding item (1):

Legal entities holding > 25 percent on each level of participation

If your legal entity is listed on a stock exchange please state the name of the stock exchange:

If there are no legal entities with capital or voting rights of > 25 percent or none of your stakeholders has more than >25 percent of your shares (in case of an exchange listing) please confirm by ticking the box. ☐

Otherwise please fill in the legal entities holding > 25 percent on each level of participation:

Company Name	Legal Form	Registration Address and Country	Residence Address and Country	Percentage	Level in Ownership Structure (L1/L2/L3...)

¹ Please find in the enclosed document at the end examples how to fill in the Column "Level in Ownership Structure"

Information regarding items (2) and (3):

Natural persons of a company with a participation of > 25 percent, exercise control or influence

Natural persons as beneficiaries of a foundation who hold ≥ 25 percent, exercise control or influence.

☐ This information is not necessary, if there are no beneficial owners – as described above. In this case, please confirm by ticking the box.

Full Name (Surname, First Name)	Date of Birth	Country of Birth	M(ale) / F(emale)	Citizen- ship	Residence Address and Country	Percentage	Participation (2) or Control (3)

Please be so kind and attach an organizational chart in graphical form of your company which includes the whole ownership structure up to the beneficial owner(s) including percentage of ownership interest duly signed (if existent according to item 2 and 3).

We kindly ask you to fill in the requested information in writing and return it duly signed to your RBI-account manager **within four weeks** after receipt of this letter.

If there are any changes on facts of the beneficial owners in future, we kindly ask you to keep us informed.

☐ By ticking off this point you give your consent that we disclose the information provided by you on the basis of this letter to Raiffeisen Zentralbank Österreich AG (RZB), and to affiliates of RZB and of Raiffeisen Bank International AG (RBI) in and outside Austria, if and to the extent such information is needed in order to fulfill the requirement to identify the beneficial owner of a customer.

Signature of the Beneficial Owner(s)

Date

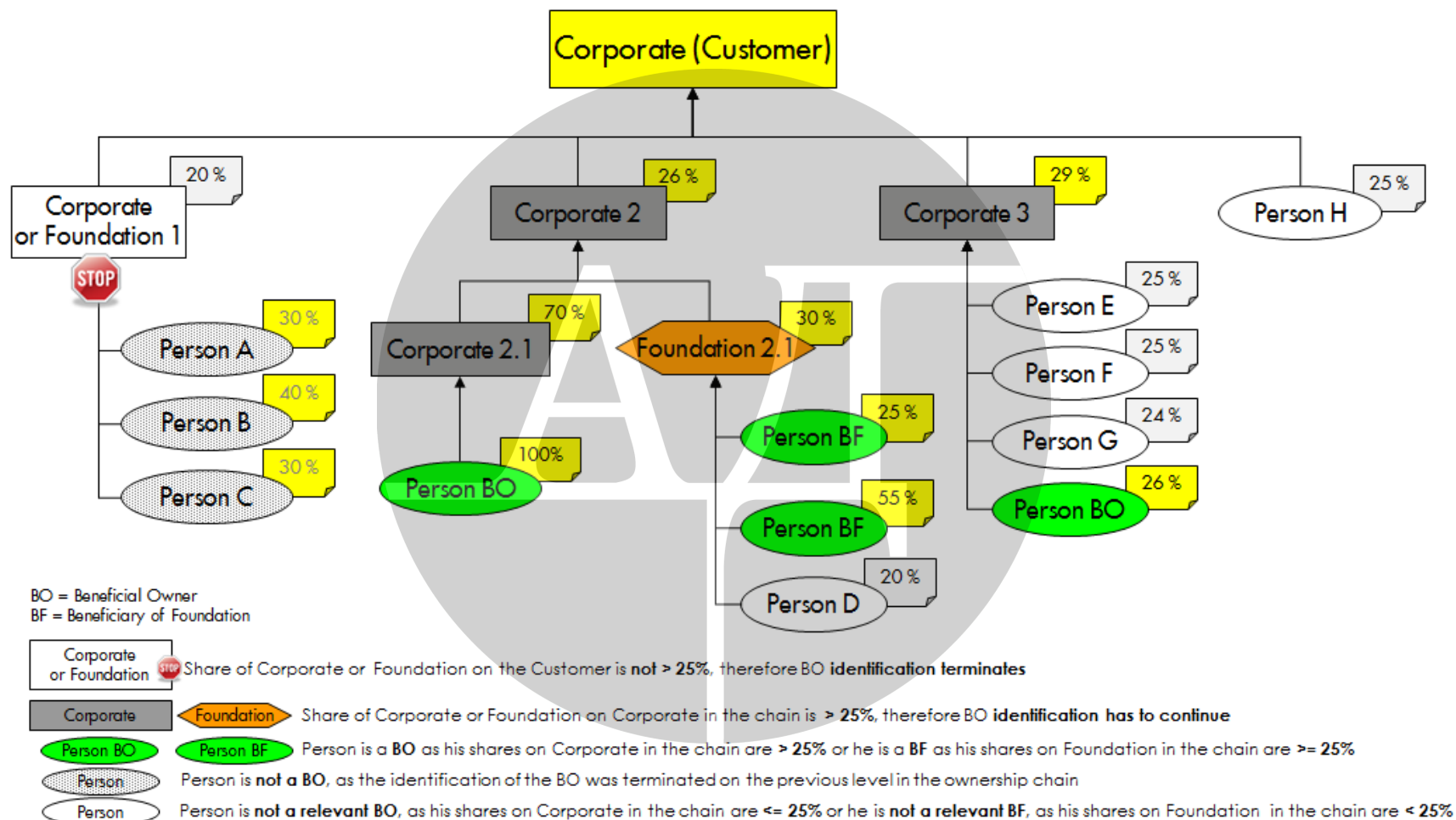
Name of Signee(s)

Signature on behalf of the Company

Date

Name of Signee(s)

Company Name/Company Stamp

Attachment:**Examples for the definition of Beneficial Owners**

Example how to fill in the "Level of Ownership" in the table "Legal Entities" on page 2:

- L1: Corporate 2 and Corporate 3
- L2: Corporate 2.1 and Trust 2.1